

NORTH CENTRAL COLLEGE, Naperville, IL
Adjunct Assistant Professor of Finance

1978 – Present

- Teach broad spectrum of finance and economics courses at both the undergraduate and graduate levels, utilizing relevant corporate experience to bridge the gap between academic concepts and practical application.
- Serve as faculty mentor to the men's cross-country and track teams, with special emphasis on helping freshmen team members transition successfully to the college academic environment.
- Provide guidance, counseling, and resume assistance to students interested in pursuing careers in finance and economics
- Conduct workshops, seminars, and field trips to explore contemporary economic and financial issues and challenges

RELATED CORPORATE EXPERIENCE

OAK BROOK WEALTH MANAGEMENT, Oak Brook, IL
Independent Financial Planner and Consultant

2002 – 2011

- Provided comprehensive investment management and financial planning to individuals and businesses. Areas of expertise included risk management, protection planning, investment management, retirement planning, and estate planning. Developed, implemented, and managed pension and retirement plans for small to intermediate-sized businesses.

ZURICH-SCUDDER INVESTMENTS, Chicago, IL
A global investment manager with over \$330 billion under management
Vice-President (1984–2002)
Private Placement Portfolio Manager (1999 – 2002)

1984- 2002

- Underwrote credit risk for a \$1.5 billion private debt portfolio, utilizing intensive analysis of historic financial statements, management interviews, and the due diligence process to create a department environment in which credit upgrades exceeded credit downgrades each year.
- Negotiated financial covenants with underwriters and issuers to maximize protection for note holders, and negotiated amendments and fees when warranted by unforeseeable business developments.
- Created opportunities to expand fee income by developing expertise in and managing more than \$500 million in CBO/CLO securitizations for third party institutions.
- Spearheaded the successful effort to create an internal bank loan team, saving in excess of \$500,000 in external management fees.

Municipal Bond Manager (1996 – 1999)

- Minimized tax liabilities by generating tax-free income for insurance clients through active management of a \$2 billion municipal portfolio.

Portfolio Manager – U.S. Branch (1984 – 1996)

- Constructed and managed a \$5 billion fixed income and equity portfolio that consistently met or exceeded exacting Home Office requirements.
- Initiated a quarterly economic newsletter and focus group discussions that alerted underwriters to developing market opportunities and challenges.

BORG-WARNER ACCEPTANCE CORP., Chicago, IL

1976 - 1984

The financial arm of the \$163 billion BorgWarner Corporation

Assistant Treasurer (BorgWarner Acceptance)

Director of Investments (BorgWarner Insurance Services)

- Created and staffed a comprehensive investment and treasury department for the company's captive insurers at considerable savings to alternative options.
- Managed a cohesive team of four professionals and one administrator that accounted for 100% of insurance group profitability.
- Initiated a cash management system that eliminated un-invested cash receipts and minimized required cash balances.
- Successfully utilized aggressive management of the municipal bond portfolio to enhance cash flow and minimize premium tax liabilities.

LASALLE NATIONAL BANK, Chicago, IL

1972 - 1976

A subsidiary of ABN Amro of North America

Research Analyst/Portfolio Manager (Trust Investment Department)

- Performed fundamental equity analysis for the benefit of performance-oriented funds.
- Managed corporate pension and investment counseling accounts in accordance with ERISA requirements and defined objectives.

University of Maryland (1972) --B.S.Magna Cum Laude (Economics)

DePaul University (1974) – MBA (Finance)

Military

Crypto-Linguist (U.S. Naval Security Group – NSA) 1967 – 1970

Employed Portuguese language skills and intensive cryptographic training to conduct highly classified operations both domestically and abroad.